# WAYS TO IMPROVE THE FUNCTIONING OF THE COMPLIANCE CONTROL SYSTEM IN HIGHER EDUCATION

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**Abstract:** This article examines the essence and importance of the compliance control system in higher education institutions, its role in preventing corruption, ensuring transparency and accountability. Existing challenges are analyzed, and organizational, legal, and institutional measures for improving compliance mechanisms are proposed. Special attention is given to digitalization, risk management, and the development of an anti-corruption culture in higher education institutions.

**Keywords:** compliance control, anti-corruption, higher education, transparency, internal control, conflict of interest, risk management.

**Login.** Last in years In the Republic of Uzbekistan state management and education system reform to do in the process openness , transparency and to corruption against fight to the issues separately attention In particular , Uzbekistan Republic High education , science and innovations ministry in the system compliance control mechanisms current to grow and improvement current from tasks one as specified .

The concept of compliance English from the language taken "compliance " , " compliance " " to do " meanings It means organization . of activity current legislation , internal normative documents and moral to standards compatibility to provide aimed at systematic control mechanism represents . High education in institutions compliance control system not only corruption prevent to get , maybe management efficiency increase , financial discipline reinforcement and academic honesty to provide service does .

## Literature comment

High in education compliance control system improvement issue last in years scientific in circles current from topics to one In particular , corruption against struggle , institutional management improvement and academic honesty provision in the directions row scientific research take gone .

International organizations , including Transparency International in reports education in the field corruption risks high is , especially , accepted processes , evaluation system and state purchases in the field transparency shortage record This organization in the recommendations open information policy , independent audit and compliance system strengthen important tool as is displayed .

Also by the Organization for Economic Co-operation and Development (OECD). working issued to corruption against fight and state under the management integrity provision principles supreme education institutions It is also relevant for OECD recommendations . risks management , interests collision order to put and internal control system reinforcement compliance system main elements as specified .

National at the level and Uzbekistan Republic High education , science and innovations ministry by supreme education in institutions compliance control system current to grow according to regulatory and legal documents acceptance This is done . in documents to corruption against internal control mechanisms , ethics codes and interests of the collision prevent to take measures specified .

Scientific in literature compliance control system often    corporate governance , internal audit and risk management concepts with integral related    without is studied . West researchers compliance only control tool not , maybe organization culture inseparable part as interpretation They do . Their in my opinion , compliance system effective performance for "tone at the top" — ie management honesty and legality loyalty important factor is considered .

Local in research and supreme education in institutions corruption risks reduction , electronic management develop and open information base formation issues wide illuminated . However there is scientific of affairs most general theoretical to the description has be , compliance    system complex and systematic improvement mechanisms enough deep analysis does not .

To this end this in research compliance control system institutional , organizational and technological in terms of complex study    and practical offers working exit goal made .

## RESEARCH METHODOLOGY

This research supreme education in institutions compliance control system improvement scientific and practical model working to go out Research        methodology complex approach based on working out , then theoretical , empirical and analytical methods wake up without was used .

### 1. Research design

Research **mixture mixed-methods method** based on take went , that is qualitative and quantitative methods    together was used .

**Table 1.**
Research design stages

| Stage | Content | Applied method | Result |
|---|---|---|---|
| Stage 1 | Theoretical the basics study | Literature analysis | Conceptual model working exited |
| Stage 2 | Current the system analysis to do | Documents analysis , comparative analysis | Problems clarified |
| Stage 3 | Empirical information collection | Questionnaire , interview | Real situation evaluated |
| Stage 4 | Risks assessment | Risk matrix model | Danger degrees determined |
| Stage 5 | Offers working exit | Synthetic analysis | Improvement model working exited |

### Research object and selection

Research object — Uzbekistan        supreme education        in institutions compliance control system .
Selection method — targeted purposive sampling .

**Table 2 .**
Selection composition

| Respondent category | Number | Share (%) |
|---|---|---|
| Professors and teachers | 120 | 40% |
| Administrative employees | 60 | 20% |
| Students | 100 | 33% |
| Compliance and audit experts | 20 | 7% |
| **Total** | **300** | **100%** |

### Information assembly     methods

### Questionnaire     method

Anonymous online questionnaire     through compliance system efficiency was evaluated .
**Example :**
Question:
*" Your in your opinion , the highest education in the institution assessment process transparent ?"*
Results :

| Answer option | Percentage |
|---|---|
| Yes , completely. transparent | 28% |
| Partially transparent | 46% |
| No , transparent it's not | 26% |

**Analysis:** 72% of respondents rated in the process problems the existence This is compliance . mechanisms strengthen the necessity shows .

### Half structured interview

20 people compliance and audit professionals with interview was held .
**Example :**
Question:
*" Compliance " department independence Is that enough ?*
Result :
- 65% expert independence enough that it is not emphasized
- 25% partial enough said
- 10% complete enough that evaluated

This is the result. compliance structure institutional in terms of reinforcement the necessity shows .

### Risks assessment methodology

In the study corruption risks determination for **Risk Assessment Matrix** model The model is based on probability and impact Based on the level (Impact) working It was released .
**Table 3.**
Risk matrix criteria

| Probability level | Score |
|---|---|
| Low | 1 |
| Medium | 2 |
| High | 3 |

| Impact level | Score |
|---|---|
| Low | 1 |
| Medium | 2 |
| High | 3 |

Risk level formula :
Risk = Probability × Impact

## Practical example

**Process : Students assessment system**

| Indicator | Score |
|---|---|
| Probability | 3 |
| Impact | 3 |
| **Risk level** | **9** ( High ) |

Result : Evaluation system high danger to the category entered .

**Process : State purchases**

| Indicator | Score |
|---|---|
| Probability | 3 |
| Impact | 2 |
| **Risk level** | **6 ( Medium-High )** |

**Process : Scientific grants distribution**

| Indicator | Score |
|---|---|
| Probability | 2 |
| Impact | 3 |
| **Risk level** | **6 ( Medium-High )** |

## Statistical analysis

Data were analyzed using SPSS software . again worked ( descriptive) statistics ).
Home indicators :

- Average grade
- Standard hard work
- Percentage distribution

**Example :**

Compliance Efficiency 5 points in the system was evaluated .

| Indicator | Result |
|---|---|
| Average grade | 3.1 |
| Standard deviation | 0.8 |

This is the result. system average     at the level that it works shows .

## Comparative analysis methodology

Developed countries experience with compare done increased .
For example :

- USA at universities compliance office straight away       observation to the council report gives .
- Europe at universities anonymous appeal platforms mandatory .

National in practice and compliance departments     often     administrative to management obeys .

## The research reliability and validity

### Reliability

- Cronbach's Alpha Index : 0.82
( above 0.7) happened for considered reliable )

### Validity

- Expert assessment through questions compatibility checked
- Pilot questionnaire     conducted (30 respondents )

## The research methodological model

The following Develop an integrated model output :

1. Risks determination
2. Risks assessment
3. Control mechanism designation
4. Monitoring
5. Report and analysis
6. Improvement

## General methodological conclusion

Research methodology following to the advantage has :

- Complex approach
- Quantitative and quality methods harmony
- Precise risk matrix model
- Statistical based analysis
- Practical directed results

This methodology supreme education in institutions compliance control system scientific basically assessment and improvement opportunity gives .

## Conclusion

High education in institutions compliance control system improvement — education quality increase , corruption prevent to take and society trust reinforcement important is a factor . Systematic approach , digitization , risk management , skilled personnel and strong institutional basis compliance system efficiency provides .

In the future compliance control system further develop through supreme education institutions international in the ratings place     strengthened , management quality improves and education in the process transparency complete     is provided .

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